

<b>TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT</b> (Under 37 CFR 1.97(b) or 1.97(c))				Docket No. 3183	
In Re Application Of: <b>KOEHLER, S., ET AL</b>					
Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10/521,791	01/20/2005		278		
Title: <b>USE OF SILANES IN COSMETIC AGENTS</b>					
<p style="text-align: center;">Address to:</p> <p style="text-align: center;">Commissioner for Patents          P.O. Box 1450          Alexandria, VA 22313-1450</p> <p style="text-align: center;"><b>37 CFR 1.97(b)</b></p> <p>1. <input checked="" type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.</p> <p style="text-align: center;"><b>37 CFR 1.97(c)</b></p> <p>2. <input type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:</p> <p style="margin-left: 40px;"><input type="checkbox"/> the statement specified in 37 CFR 1.97(e);</p> <p style="text-align: center; margin-left: 100px;"><b>OR</b></p> <p style="margin-left: 40px;"><input type="checkbox"/> the fee set forth in 37 CFR 1.17(p).</p> <div style="border: 1px solid black; padding: 10px; margin-top: 20px; text-align: center;">         The PTO did not receive the following listed item(s) <u>Page off NPL</u> </div>					

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
3183

In Re Application: **KOEHLER, S., ET AL**

APR 13 2005

Application No. <b>10/521,791</b>	Filing Date <b>01/20/2005</b>	Examiner	Customer No. <b>278</b>	Group Art Unit	Confirmation No.
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Title: **USE OF SILANES IN COSMETIC AGENTS**

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☐ The Director is hereby authorized to charge and credit Deposit Account No. \_\_\_\_\_ as described below.
- ☐ Charge the amount of \_\_\_\_\_
  - ☐ Credit any overpayment.
  - ☐ Charge any additional fee required.
- ☐ Payment by credit card. Form PTO-2038 is attached.

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

**Certificate of Transmission by Facsimile\***

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. \_\_\_\_\_)

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Signature

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Typed or Printed Name of Person Signing Certificate

**Certificate of Mailing by First Class Mail**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on

04/11/2005

\_\_\_\_\_  
(Date)

\_\_\_\_\_  
Signature of Person Mailing Correspondence

**MICHAEL J. STRIKER**

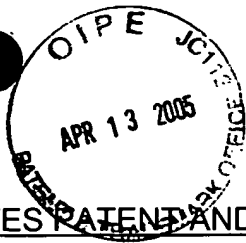
\_\_\_\_\_  
Typed or Printed Name of Person Mailing Certificate

**\*This certificate may only be used if paying by deposit account.**

\_\_\_\_\_  
Signature

Dated: **APRIL 11, 2005**

CC:



UNITED STATES PATENT AND TRADEMARK OFFICE

Examiner:.

Group:

Attorney Docket # 3183

Applicant(s): KOEHLER, S., ET AL

Serial No.: 10/521,791

Filed: 01/20/2005

For : USE OF SILANES COSMETIC AGENTS...

**INFORMATION DISCLOSURE STATEMENT**

April 11, 2005

Honorable Commissioner of Patents  
and Trademarks  
Washington, D.C. 20231

S I R S:

X  In accordance with the Duty of Disclosure, Applicant(s) submit(s) herewith a copy of Foreign Search Report in a counterpart application and copies of the reference(s) indicated therein.

X  In the event that the Foreign Search Report is in a foreign language, a translation thereof is herewith submitted.

X  Attached hereto is a FORM PTO 1449 listing the references.

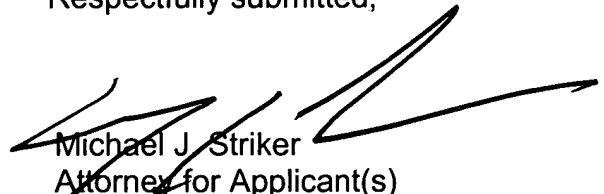
Attached hereto is a copy of a reference cited in the specification of the application as filed. The specification itself recites the relevance of these documents, and pursuant to M.P.E.P. Section 609 A (3) this represents an acceptable Statement of Relevancy. The reference(s) appear(s) at the following page(s) and line(s) of the specification:

Applicant petitions for consideration of this Information Disclosure Statement since it is being submitted after receipt of an office action. It is respectfully requested that the required fee be charged to the account of the undersigned: 19-4675.

page 2 of 2

- ☐ Attached hereto are copies of references cited which may be pertinent to this application. Since the references are in the English language, no statement of relevancy is submitted.
- ☐ Attached hereto is a copy of the Office Action issued in the corresponding German and European applications, together with a translation thereof and copies of the references cited therein. A list of the cited references is also attached.
- ☐ Attached hereto copies of references cited which may be pertinent to this application. An English translation of the reference is also attached.
- ☐ Attached hereto is a Statement of Relevancy and copies of references cited therein.
- ☐ Relevancy is indicated in an English language abstract or an English language equivalent attached hereto.

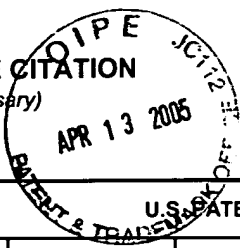
Respectfully submitted,



Michael J. Striker  
Attorney for Applicant(s)  
Reg. No. 27233

# INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)



ATTY DOCKET NO.

3183

APPLICATION NO.

10/521,791

APPLICANT(S)

KOEHLER, S., ET AL

FILING DATE

01/20/2005

GROUP ART UNIT

## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	6,103,221	08/15/2000	ARNAUD ET AL			
	5,108,738	04/28/1992	HAKKORAN ET AL			
	4,601,902	01/22/1986	FRIDD ET AL			
	2,782,790	02/26/1957	HERSCH ET AL			
	5,389,364	02/14/1995	CIFUENTES ET AL			

## U.S. PATENT APPLICATION PUBLICATIONS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	2001/0026789 A1	10/04/2001	RICHARD ET AL			

## FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	197 27 030 A1	01/07/1999	DE (ENGLISH ABSTRACT)			✓	
	26 15 654	03/13/1980	DE				✓
	34 27 674 A1	02/07/1985	DE				✓
	89/04163	05/18/1989	WO			✓	
	0 464 835 B1	11/15/1995	EP			✓	

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

		* PATENT ABSTRACT OF JAPAN JP 11293279 10/26/1999.

EXAMINER

DATE CONSIDERED

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

**INFORMATION DISCLOSURE CITATION**  
(Use several sheets if necessary)

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**U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	5,281,240	01/25/1994	MCGEE			
	5,411,585	05/02/1995	AVERY ET AL			
	5,707,550	01/13/1998	GLOVER ET AL			
	5,951,968	09/14/1999	FORESTIER ET AL			
	6,376,679 B2	04/23/2002	LEDUC ET AL			

**U.S. PATENT APPLICATION PUBLICATIONS**

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

**FOREIGN PATENT DOCUMENTS**

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	535 579	05/30/1973	CH				✓
	01/30784 A1	05/03/2001	WO (ENGLISH ABSTRACT)				

**OTHER DOCUMENTS** (Including Author, Title, Date, Pertinent Pages, Etc.)


EXAMINER

DATE CONSIDERED

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